

MarinTrust Standard V2

By-product Fishery Assessment Report Template

Herring (*Clupea harengus*) in Subarea 4 and divisions 3.a and 7.d, autumn spawners (North Sea, Skagerrak and Kattegat, eastern English Channel)

MarinTrust Programme

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Table 1 Application details and summary of the assessment outcome

	Species: Herring (Clupea harengus)		
	Geographical area:	FAO 27 Northeast Atlantic	
Fishery Under	Country of origin of	EU, Russian Federation, Faroese and U.K. (Flag	
Assessment	the product:	countries)	
Assessment	Stock:	Herring (<i>Clupea harengus</i>) in Subarea 4 and divisions 3.a and 7.d, autumn spawners (North Sea, Skagerrak and Kattegat, eastern English Channel)	
Date	April 2022		
Report Code	GBR08		
Assessor	Vito Romito		
Country of origin of the product - PASS	EU, Russian Federation, Faroese and U.K. (Flag countries)		
Country of origin of the product - FAIL			

Application details and summary of the assessment outcome					
Company Name(s): Pelagia					
Country: UK & Ireland					
Email address:		Applicant Code:			
Certification Body Details					
Name of Certification Body:		Global Trust Certification			
Assessor	Peer Reviewer	Assessment Days	Initial/Surveillance/ Re-approval		
Vito Romito	Ivan Mateo	0.5	Surveillance 1		
Assessment Period	To April 2022				



Scope Details				
Main Species	Herring (Clupea harengus)			
Stock	Herring (<i>Clupea harengus</i>) in Subarea 4 and divisions 3.a and 7.d, autumn spawners (North Sea, Skagerrak and Kattegat, eastern English Channel)			
Fishery Location	Northeast Atlantic			
Management Authority (Country/ State)	EU CFP (primarily) and management organisations from Russian Federation, Faroese and U.K.			
Gear Type(s)	All			
Outcome of Assessment				
Peer Review Evaluation	Agree with Assessor assessment			
Recommendation Approve				

Table 2. Assessment Determination

Assessment Determination

If any species is categorised as Endangered or Critically Endangered on IUCN's Red List, or if it appears in the CITES appendices, it cannot be approved for use as Marin Trust raw material. Herring (*Clupea harengus*) is neither listed as Endangered or Critically Endangered on IUCN's Red List, nor listed in CITES appendices; therefore, it is eligible for approval for use as Marin Trust by-product raw material.

ICES is not aware of any agreed precautionary management plan for herring in this area but the ICES advice basis is according to the MSY approach. Accordingly, ICES advised that when the MSY approach is applied, catches in 2022 should be no more than 532 183 tonnes for herring (*Clupea harengus*) in Subarea 4 and divisions 3.a and 7.d.

The stock is assessed by ICES and therefore assessed as a Category C here. Catches are accounted in the stock assessment model. Fishing pressure on the stock is below FMSY, Fpa, and Flim; and the spawning-stock size is above MSY Btrigger, Bpa, and Blim. Accordingly, this stock is APPROVED for the production of fishmeal and fish oil under the current Marin Trust v 2.0 Standard for by-products.

Fishery Assessment Peer Review Comments

The assessor correctly classified the herring (*Clupea harengus*) in Subarea 4 and divisions 3.a and 7.d as category C, the stock is managed, and reference points are defined to assess the stock status against.

Fishery removals from the stock are considered in the stock assessment process. The most recent stock assessment shows that the stock is considered to have a biomass well above the limit reference point. Therefore, herring (*Clupea harengus*) in Subarea 4 and divisions 3.a and 7.d passes both C1.1 and C1.2 and therefore the herring (*Clupea harengus*) in Subarea 4 and divisions 3.a and 7.d is approved.

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Notes for On-site Auditor				



Species Categorisation

NB: If any species is categorised as Endangered or Critically Endangered on the IUCN Red List, or if it appears in CITES Appendix 1, it **cannot** be approved for use as an MarinTrust raw material.

IUCN Red list Category

By-product material from a species listed by IUCN (the International Union for Conservation of Nature) under the Red List for the following categories shall immediately fail the assessment;

- EXTINCT (E) AND EXTINCT IN THE WILD (EW)
- CRITICALLY ENDANGERED (CR) facing an extremely high risk of extinction in the wild.
- ENDANGERED (EN) facing a very high risk of extinction in the wild.

By-product material may be used from the following categories provided that all clauses in the MarinTrust standard are passed.

- VULNERABLE (VU) facing a high risk of extinction in the wild.
- NEAR THREATENED (NT) does not qualify for above now, but is close or is likely to qualify for, a
 threatened category in the near future.
- LEAST CONCERN (LC) Widespread and abundant.
- DATA DEFICIENT (DD) and NOT EVALUATED (NE)

Table 3 Species Categorisation Table

Common name	Latin name	Stock	Management	Category	IUCN Red List Category ¹	CITES Appendix 1 ²
Herring	Clupea harengus	Herring (Clupea harengus) in Subarea 4 and divisions 3.a and 7.d, autumn spawners (North Sea, Skagerrak and Kattegat, eastern English Channel)	EU CFP (primarily) and management organisations from Russian Federation, Faroese and U.K.	С	LC	No

¹ https://www.iucnredlist.org/

² https://cites.org/eng/app/appendices.php

CATEGORY C SPECIES

In a by-product assessment, Category C species are those which are subject to a species-specific management regime and are usually targeted species in fisheries for human consumption.

Clause C1 should be completed for each Category C species. If there are no Category C species in the fishery under assessment, this section can be deleted. Where a species fails this Clause, it should be assessed as a Category D species instead.

Spe	ecies	Name	Herring		
C1	Category C Stock Status - Minimum Requirements				
CI	C1.1	Fishery removals of the species in the fishery under assessment are included in the stock assessment Pass			
		process, OR are considered by scientific authorities to be negligible.			
	C1.2 The species is considered, in its most recent stock assessment, to have a biomass above the limit reference point (or proxy), OR removals by the fishery under assessment are considered by scientific authorities to be negligible.			Pass	
			Clause outcome:	DACC	

C1.1 Fishery removals of the species in the fishery under assessment are included in the stock assessment process.

The stock is assessed using an age-based analytical assessment, SAM (ICES, 2021d) that uses catches in the model and in the forecast. Inputs include: Commercial catches and five survey indices (IBTS Q1 1-ringer G1022), IBTS0 (I8304), LAI as SSB index (I2359, I9086, I2687), HERAS 1–8 ringers A5092, IBTS Q3 0–5-ringers G2829); annual maturity data from HERAS survey, natural mortalities from SMS North Sea multispecies model (ICES, 2021b). Discards are considered negligible (Source ICES 2021).

The stock passes Clause C1.1.

C1.2 The species is considered, in its most recent stock assessment, to have a biomass above the limit reference point (or proxy).

As per the 2021 ICES Advice report fishing pressure on the stock is below FMSY, Fpa, and Flim; and the spawning-stock size is above MSY Btrigger, Bpa, and Blim. This is shown below.



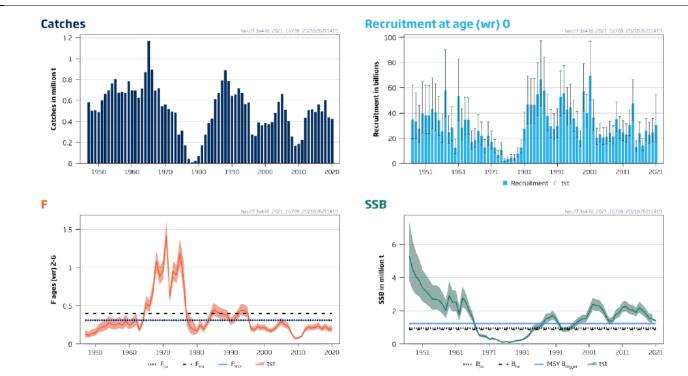


Figure 1. Herring in Subarea 4 and divisions 3.a and 7.d, autumn spawners. Summary of the stock assessment; 95% confidence intervals are shown for SSB, F, and recruitment (Source ICES 2021).

The stock passes Clause C1.2.

References

CITES. 2022. Cites Appendix 1. https://cites.org/eng/app/appendices.php

ICES. 2021. Herring (Clupea harengus) in Subarea 4 and divisions 3.a and 7.d, autumn spawners (North Sea, Skagerrak and Kattegat, eastern English Channel). In Report of the ICES Advisory Committee, 2021. ICES Advice 2021, her.27.3a47d, https://doi.org/10.17895/ices.advice.7770

Lorance, P., Nedreaas, K., Fernandes, P., Kjellén, N. & Florin, A. 2015. Clupea harengus. The IUCN Red List of Threatened Species 2015: e.T155123A45074983. Accessed on 27 April 2022.

Links		
MarinTrust Standard clause	1.3.2.2	
FAO CCRF	7.5.3	
GSSI	D.3.04, D5.01	