

## By-Product assessment report

## **BP004**

Productos Pesqueros de Guaymas S.A. de C.V.



Report code	BP004	Date of issue	October 2025

1. Application details					
Applicant	Productos Pesqueros de Guaymas S.A. de C.V.				
Applicant country	Mexico				
2. Certification Body details					
Name of Certification Body (CB)	NSF / Global Trust Certification Ltd.				
Contact information for CB	Fisheries@nsf.org				
Assessor name	Sam Peacock				
CB internal peer reviewer name	Léa Lebechnech				
Internal peer review evaluation	Agree with evaluation				
Number of Assessment days	0.2				
Comments on the assessment	All eight byproducts covered by this assessment originate from Mexico-flagged vessels. Mexico is a High Risk flag state, necessitating a Step 3 assessment. However, as all eight byproducts originate from MT-approved whole fish fisheries, via recognition of an MSC certification, they can therefore be downgraded to Medium Risk without further assessment. All eight byproducts are Approved, source with caution.				
3. Approval validity	Valid from 10/2025 Valid until 10/2026				
4. Assessment cycle	Re-Approval				



5. By-product assessment outcomes							
By-product species name	Flag country(ies)	Fishing Areas	MarinTrust approval status				
Pilchard/Sardine	Mexico	FAO 77	Approved source with caution				
(Sardinops sagax)							
Pacific thread herring	Mexico	FAO 77	Approved source with caution				
(Opisthonema liberate)							
Anchoveta	Mexico	FAO 77	Approved source with caution				
(Engraulis mordax)							
Pacific anchoveta	Mexico	FAO 77	Approved source with caution				
(Cetengraulis mysticetus)							
Pacific chub mackerel	Mexico	FAO 77	Approved source with caution				
(Trachurus japonicus)							
Pacific jack mackerel	Mexico	FAO 77	Approved source with caution				
(Trachurus symmetricus)							
Japanese sardine	Mexico	FAO 77	Approved source with caution				
(Etrumeus teres)							
Leatherjackets	Mexico	FAO 77	Approved source with caution				
(Oligoplites spp.)							



#### **Guidance for on-site auditor**

For the audit, the auditor will check how the facility manages by-products deemed medium risk. Any by-products downrated from high to medium risk will require additional due diligence checks.

It is important that facilities check all raw materials from and verify their suppliers especially if there is a perceived risk of sourcing from known or suspected IUU fishing activity. This requires checking supplier records or procedures in place to understand how the supplier can ensure there is no IUU in the raw material they provide. For raw materials risk rated medium, additional or more frequent checks may be required until the facility is certain that the raw materials are not from IUU fishing activity.

The audit requirements are covered in clause 2.11.3 of the MarinTrust Global Standard for Responsible Supply of Marine Ingredients (the MarinTrust Standard) and associated interpretation guidance.

### **Approved by-products**

No further checks are required beyond those included in the MarinTrust Standard.

### Additional checks of Approved Source with Caution by-products

• Review supplier records or procedures in place.

### Additional checks of by-products Approved Source with Caution via Step 3 assessment

• In addition to checks for medium risk Approved Source with Caution by-products, by-products that have had risk downgraded from high to medium at Step 3 (use **Appendix 1** to identify these by-product species), confirm that the relevant traceability information continues to be collected for this by-product. During the audit, a traceability check on any by-products downgraded from high to medium risk shall be included as part of the required traceability checks (Section 4).

#### Guidance for the applicant/certificate holder

The applicant/certificate holder is responsible for ensuring the relevant actions are taken to comply with the MarinTrust Standard.

The certificate holder is responsible for communicating any changes to the by-products sourced by submitting a scope extension request through the MarinTrust online Application Portal.



# Appendix 1 – assessment outcomes

## **Step 2 Assessment Outcomes**

By-product species name	Flag country(ies)	IUCN Red List	CITES Appendices	Step 2 risk status	Step 3 required
Pilchard/Sardine (Sardinops sagax)	Mexico	Least concern	Not listed	High risk	Yes
Pacific thread herring (Opisthonema liberate)	Mexico	Least concern	Not listed	High risk	Yes
Anchoveta (Engraulis mordax)	Mexico	Data deficient	Not listed	High risk	Yes
Pacific anchoveta (Cetengraulis mysticetus)	Mexico	Least concern	Not listed	High risk	Yes
Pacific chub mackerel (Trachurus japonicus)	Mexico	Near threatened	Not listed	High risk	Yes
Pacific jack mackerel (Trachurus symmetricus)	Mexico	Least concern	Not listed	High risk	Yes
Japanese sardine (Etrumeus teres)	Mexico	Least concern	Not listed	High risk	Yes
Leatherjackets (Oligoplites spp.)	Mexico	Least concern	Not listed	High risk	Yes



## **Step 3 Assessment Outcomes**

By-product species name	Flag country(ies)	Fishing Area	Stock name	Category C Assessment Outcome	Traceability information	Step 3 Risk Outcome
Pilchard/Sardine (Sardinops sagax)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Pacific thread herring (Opisthonema liberate)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Anchoveta (Engraulis mordax)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Pacific anchoveta (Cetengraulis mysticetus)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Pacific chub mackerel (Trachurus japonicus)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Pacific jack mackerel (Trachurus symmetricus)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Japanese sardine (Etrumeus teres)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.
Leatherjackets (Oligoplites spp.)	Mexico	FAO 77	n/a	Not required, MT whole fish approved	MT approved whole fish	Risk downgraded to Medium Risk.



Comments on Step 3 Assessment: All byproducts within this assessment originate from MT-approved whole fish fisheries. For pacific sardine, thread herring, Pacific anchoveta, California anchovy and chub mackerel, the most recent MSC verification report is available here: <a href="https://www.marintrust.com/sites/marintrust/files/approved-raw-">https://www.marintrust.com/sites/marintrust/files/approved-raw-</a>

materials/MSCV20 Small%20Pelagics%20Fishery%20in%20Sonora%20Gulf%20of%20California August2025.pdf.

For Japanese sardine, Pacific jack mackerel and leatherjackets, the most recent Reduced Whole Fish Assessment is available here: <a href="https://www.marintrust.com/sites/marintrust/files/approved-raw-materials/MSCV22">https://www.marintrust.com/sites/marintrust/files/approved-raw-materials/MSCV22</a> Mexico%20Gulf%20of%20California%20small%20pelagics%20Sonora%20-%20FAO%2077%20Northern%20Central Surveillance%201 Dec 2024.pdf.



# Appendix 2 – detailed assessment outcomes

# (step 2 and step 3 if applicable)

## Step 2 outcomes

Flag state	Risk rating	Flag score	Port score	General score	Flag State is contracting party or cooperating non- contracting party to all relevant RFMOs	'Carded' under EU Carding system	Flag state party to PSMA	Flag state mandatory vessel tracking for commercial seagoing fleet	WGI Governance rank
Mexico	High	2.25	3.06	2.78	2	1	5	1	46.70%



## Step 3 outcomes

As explained previously, all byproducts within this assessment originate from MT-approved whole fish fisheries, so Step 3 is not needed.

## **Category C assessment**

Spec	cies nan	ne	n/a				
Fishir	ng area	and					
<b>C1</b>	Categ	ory C Stoc	k Status - Minimum Requirements				
	C1.1	in the sto	emovals of the species in the fishery under assessment are included ock assessment process, OR dered by scientific authorities to be negligible.				
	C1.2	biomass a	ies is considered, in its most recent stock assessment, to have a above the limit reference point (or proxy), OR by the fishery under assessment are considered by scientific es to be negligible.				
		•	Clause outcome:				
C1.1 Fishery removals of the species in the fishery under assessment are included in the sassessment process OR are considered by scientific authorities to be negligible.  C1.2 The species is considered, in its most recent stock assessment, to have a biomass above limit reference point (or proxy), OR removals by the fishery under assessment are considered scientific authorities to be negligible.							
Refer	References						



## **Traceability information**

Information provided for Step 3 Path 1 or Path 2

Species name	n/	'a		
Path 1		es 🗆 No 🗆		
Confirm all KDEs are p	rovided Ye	es 🗆 No 🗆		
Path 2	Yes □ No □			
Path 2 outcome	Flag country	Coastal score	Port score	Risk outcome

### Guidance for Applicants/Certificate holders on improved traceability

When by-product origin cannot be made more granular than major FAO Areas, or when the source fishery is taking place in the High Seas (i.e. outside of EEZs of all relevant nations), an assessor must evaluate the Coastal and Port scores for each nation that straddles that FAO Area. This may lead to higher risk outcomes for an applicant. To mitigate that risk, better practice involves securing KDEs from the source fishery of the by-products, thereby meeting Path 1 instead of Path 2.

## What does better practices look like?

Comprehensive data collection and sharing: Collect detailed information using Key Data Elements (KDEs) including vessel identification and authorisation, species, catch areas, fishing method and dates. These are defined in the MarinTrust Standard clauses 2.11.2.2 and 3.2.5.

Supply chain transparency: Maintain detailed records at each step of the supply chain, from capture to final sale, to ensure traceability.

Interoperable systems and technologies to support the collection and transfer of this information.