



By-Product assessment report

BP004

Productos Pesqueros de Guaymas

Report code	BP004	Date of issue	October 2024
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1. Application details		
Applicant	Productos Pesqueros de Guaymas S.A. de C.V.	
Applicant country	Mexico	
2. Certification Body details		
Name of Certification Body (CB)	NSF / Global Trust Certification Ltd.	
Contact information for CB	NSF-MarinTrust@nsf.org	
Assessor name	Sam Peacock	
CB internal peer reviewer name	Matthew Jew	
Internal peer review evaluation	Agree with evaluation	
Comments on the assessment	<p><i>The flag, port, and coastal state for all eight byproducts is Mexico, a High Risk flag state which requires a Step 3 assessment. All eight species are caught in an MSC-certified fishery and have been verified by the MT MSC verification process. Therefore, all eight byproducts can be downgraded from High to Medium risk, and are awarded the outcome "Approved source with caution".</i></p>	
3. Approval validity		
	Valid from 10/2024	Valid until 10/2025

4. By-product assessment outcomes		
By-product species name	Flag country(ies)	MarinTrust approval status
Sardine, <i>Sardinops sagax</i>	Mexico	Approved source with caution
Pacific thread herring, <i>Opisthonema liberate</i>	Mexico	Approved source with caution
Anchoveta, <i>Engraulis mordax</i>	Mexico	Approved source with caution
Pacific anchoveta, <i>Cetengraulis mysticetus</i>	Mexico	Approved source with caution
Pacific chub mackerel, <i>Trachurus japonicus</i>	Mexico	Approved source with caution
Pacific jack mackerel, <i>Trachurus symmetricus</i>	Mexico	Approved source with caution
Japanese sardine, <i>Etrumeus teres</i>	Mexico	Approved source with caution
Leatherjackets, <i>Oligoplites spp.</i>	Mexico	Approved source with caution

Guidance for on-site auditor

For the audit, the auditor will check how the facility manages by-products deemed medium risk. Any by-products downrated from high to medium risk will require additional due diligence checks.

It is important that facilities check all raw materials from and verify their suppliers especially if there is a perceived risk of sourcing from known or suspected IUU fishing activity. This requires checking supplier records or procedures in place to understand how the supplier can ensure there is no IUU in the raw material they provide. For raw materials risk rated medium, additional or more frequent checks may be required until the facility is certain that the raw materials are not from IUU fishing activity.

The audit requirements are covered in clause 2.11.3 of the MarinTrust Global Standard for Responsible Supply of Marine Ingredients (the MarinTrust Standard) and associated interpretation guidance.

Approved by-products

- No further checks are required beyond those included in the MarinTrust Standard.

Additional checks of Approved Source with Caution by-products

- Review supplier records or procedures in place.

Additional checks of by-products Approved Source with Caution via Step 3 assessment

- In addition to checks for medium risk Approved Source with Caution by-products, by-products that have had risk downgraded from high to medium at Step 3 (use **Appendix 1** to identify these by-product species), confirm that the relevant traceability information continues to be collected for this by-product. During the audit, a traceability check on any by-products downgraded from high to medium risk shall be included as part of the required traceability checks (Section 4).

Guidance for the applicant/certificate holder

The applicant/certificate holder is responsible for ensuring the relevant actions are taken to comply with the MarinTrust Standard.

The certificate holder is responsible for communicating any changes to the by-products sourced by submitting a scope extension request through the MarinTrust online Application Portal.

Appendix 1 – assessment outcomes

By-product species name	Flag country(ies)	IUCN Red List	CITES Appendices	Step 2 risk status	MT-approved MSC certified source?	Step 3 required	Step 3 risk Outcome
Sardine, <i>Sardinops sagax</i>	Mexico	Least concern	Not listed	High risk	Yes ¹	No	Risk downgraded to Medium risk
Pacific thread herring, <i>Opisthonema libertate</i>	Mexico	Least concern	Not listed	High risk	Yes ¹	No	Risk downgraded to Medium risk
Anchoveta, <i>Engraulis mordax</i>	Mexico	Data deficient	Not listed	High risk	Yes ¹	No	Risk downgraded to Medium risk
Pacific anchoveta, <i>Cetengraulis mysticetus</i>	Mexico	Least concern	Not listed	High risk	Yes ¹	No	Risk downgraded to Medium risk

¹ https://www.marin-trust.com/sites/marintrust/files/approved-raw-materials/MSCV20_Small%20Pelagics%20Fishery%20in%20Sonora%20Gulf%20of%20California_August%202024.pdf

Pacific chub mackerel, <i>Trachurus japonicus</i>	Mexico	Near threatened	Not listed	High risk	Yes ¹	No	Risk downgraded to Medium risk
Pacific jack mackerel, <i>Trachurus symmetricus</i>	Mexico	Least concern	Not listed	High risk	Yes ²	No	Risk downgraded to Medium risk
Japanese sardine, <i>Etrumeus teres</i>	Mexico	Least concern	Not listed	High risk	Yes ²	No	Risk downgraded to Medium risk
Leatherjackets, <i>Oligoplites spp.</i>	Mexico	Least concern	Not listed	High risk	Yes ²	No	Risk downgraded to Medium risk

² https://www.marin-trust.com/sites/marintrust/files/approved-raw-materials/MSCV22_Mexico%20Gulf%20of%20California%20small%20pelagics%20Sonora%20-%20FAO%2077%20Northern%20Central_Initial_December_2023_Additional_May%202024.pdf

Appendix 2 – detailed assessment outcomes (step 2 and step 3 if applicable)

Step 2 outcomes

Flag state	Risk rating	Flag score	Port score	General score	Flag State is contracting party or cooperating non-contracting party to all relevant RFMOs	'Carded' under EU Carding system	Flag state party to PSMA	Flag state mandatory vessel tracking for commercial seagoing fleet	WGI Governance rank
Mexico	High	2.25	3.06	2.78	2	1	5	1	46.70%

Step 3 outcomes

Category C assessment

Assessor note: Duplicate for each species/stock.

Species name		n/a	
Fishing area and stock			
C1	Category C Stock Status - Minimum Requirements		
	C1.1	Fishery removals of the species in the fishery under assessment are included in the stock assessment process, OR are considered by scientific authorities to be negligible.	
	C1.2	The species is considered, in its most recent stock assessment, to have a biomass above the limit reference point (or proxy), OR removals by the fishery under assessment are considered by scientific authorities to be negligible.	
Clause outcome:			
References			

Traceability information

Information provided for Step 3 Path 1 or Path 2

Species name		n/a	
Path 1		Yes <input type="checkbox"/> No <input type="checkbox"/>	
Confirm all KDEs are provided		Yes <input type="checkbox"/> No <input type="checkbox"/>	
Path 2		Yes <input type="checkbox"/> No <input type="checkbox"/> <i>If yes for Path 2, complete the next section</i>	
Path 2 outcome <i>Countries may be different for Coastal State and Port State.</i>	Flag country	Coastal score	Port score
			Risk outcome Remains high risk Choose an item.