



RESPONSIBLE  
SUPPLY

**IFFO RS**  
Global Standard for Responsible Supply  
of Marine Ingredients

**IFFO RS Limited**

T: +44 (0) 2030 539 195  
E: Standards@iffors.com  
W: www.iffors.com

Unit C, Printworks | 22 Amelia Street  
London, SE17 3BZ | United Kingdom



**Global Standard for  
Responsible Supply  
of Marine Ingredients**  
Fishery Assessment  
Methodology and  
Template Report V2.0



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<b>Fishery Under Assessment</b>	<b>European Sardine (<i>Sardina pilchardus</i>) Cantabrian Sea and Atlantic Iberian Waters</b>
<b>Date</b>	March 2020
<b>Assessor</b>	Jim Daly
<b>Stock Pass</b>	Divisions 8.c and 9. a
<b>Stock Fail</b>	

Application details and summary of the assessment outcome				
<b>Name:</b> Triplenine				
<b>Address:</b>				
<b>Country:</b> Denmark		<b>Zip:</b>		
<b>Tel. No.:</b>		<b>Fax. No.:</b>		
<b>Email address:</b>		<b>Applicant Code:</b>		
<b>Key Contact:</b>		<b>Title:</b>		
Certification Body Details				
<b>Name of Certification Body:</b>		SAI Global Ltd		
<b>Assessor</b>	<b>Peer Reviewer</b>	<b>Assessment Days</b>	<b>Initial/Surveillance/Re-approval</b>	<b>Whole fish/By-product</b>
Jim Daly	Conor Donnelly	0.5	SURV 2	By-product
<b>Assessment Period</b>	2020			

Scope Details	
<b>Management Authority (Country/State)</b>	Denmark/EU
<b>Main Species</b>	European Sardine ( <i>Sardina pilchardus</i> )
<b>Stock:</b>	Divisions 8.c and 9. a
<b>Fishery Location</b>	Cantabrian Sea and Atlantic Iberian waters
<b>Gear Type(s)</b>	All compliant gears
Outcome of Assessment	
<b>Peer Review Evaluation</b>	
<b>Recommendation</b>	<b>APPROVE</b>

### Assessment Determination

If any species is categorised as Endangered or Critically Endangered on IUCN's Red List, or if it appears in the CITES appendices, it cannot be approved for use as IFFO RS raw material. Sardine does not appear as Endangered or Critically Endangered on IUCN's Red List, nor does it appear in CITES appendices; therefore, Sardine is eligible for approval for use as IFFO RS by-product raw material.

One stock forms part of this assessment:

- 1) Divisions 8.c and 9.a

Fishery removals of the stock are considered in the various stock assessment processes so the stock **PASSES** Clause C1.1.

For Sardine in the assessment area ICES assess that biomass of age one and older fish (B1+ 2020 184, 137t) has remained below Blim (196,334t) since 2011. The stock **FAILS** Clause C1.2.

According to IFFO RS procedures a stock that does not meet the minimum requirements of a Category C assessment (Clauses C1.1; C1.2) should be re-assessed as Category D. The stock **PASSES** this assessment (**Table D3 p10**).

Sardine is **APPROVED** by SAI Global assessors in the assessment area for the production of fishmeal and fish oil under the current IFFO RS v 2.0 by-products standard.

### Peer Review Comments

### Notes for On-site Auditor

## HOW TO COMPLETE THIS ASSESSMENT REPORT

### By-products

The process for completing the template for **by-product raw material** is as follows:

1. ALL ASSESSMENTS: Complete the Species Characterisation table with the names of the by-product species and stocks under assessment. The '% landings' column can be left empty; all by-products are considered as Category C and D.
2. IF THERE ARE CATEGORY C BYPRODUCTS UNDER ASSESSMENT: Complete clause C1 for **each** Category C by-product.
3. IF THERE ARE CATEGORY D BYPRODUCTS UNDER ASSESSMENT: Complete Section D.
4. ALL OTHER SECTIONS CAN BE DELETED. Clauses M1 - M3, F1 - F3, and Sections A and B do not need to be completed for a by-product assessment.

By-product approval is awarded on a species-by-species basis. Each by-product species scoring a pass under the appropriate section may be approved against the IFFO RS Standard.

## SPECIES CATEGORISATION

The following table should be completed as fully as the available information permits. Any species representing more than 0.1% of the annual catch should be listed, along with an estimate of the proportion of the catch each species represents. The species should then be divided into Type 1 and Type 2 as follows:

- **Type 1 Species** can be considered the 'target' or 'main' species in the fishery. They make up the bulk of annual landings and are subjected to a detailed assessment.
- **Type 2 Species** can be considered the 'bycatch' or 'minor' species in the fishery. They make up a small proportion of the annual landings and are subjected to relatively high-level assessment.

**Type 1 Species must represent 95% of the total annual catch. Type 2 Species may represent a maximum of 5% of the annual catch (see Appendix B).**

Species which make up less than 0.1% of landings do not need to be listed (NOTE: ETP species are considered separately). The table should be extended if more space is needed. Discarded species should be included when known.

The 'stock' column should be used to differentiate when there are multiple biological or management stocks of one species captured by the fishery. The 'management' column should be used to indicate whether there is an adequate management regime specifically aimed at the individual species/stock. In some cases, it will be immediately clear whether there is a species-specific management regime in place (for example, if there is an annual TAC). In less clear circumstances, the rule of thumb should be that if the species meets the minimum requirements of clauses A1-A4, an adequate species-specific management regime is in place.

NOTE: If any species is categorised as Endangered or Critically Endangered on the IUCN Red List, or if it appears in the CITES appendices, it **cannot** be approved for use as an IFFO RS raw material. This applied to whole fish as well as by-products.

### **TYPE 1 SPECIES (Representing 95% of the catch or more)**

**Category A:** Species-specific management regime in place.

**Category B:** No species-specific management regime in place.

### **TYPE 2 SPECIES (Representing 5% OF THE CATCH OR LESS)**

**Category C:** Species-specific management regime in place.

**Category D:** No species-specific management regime in place.

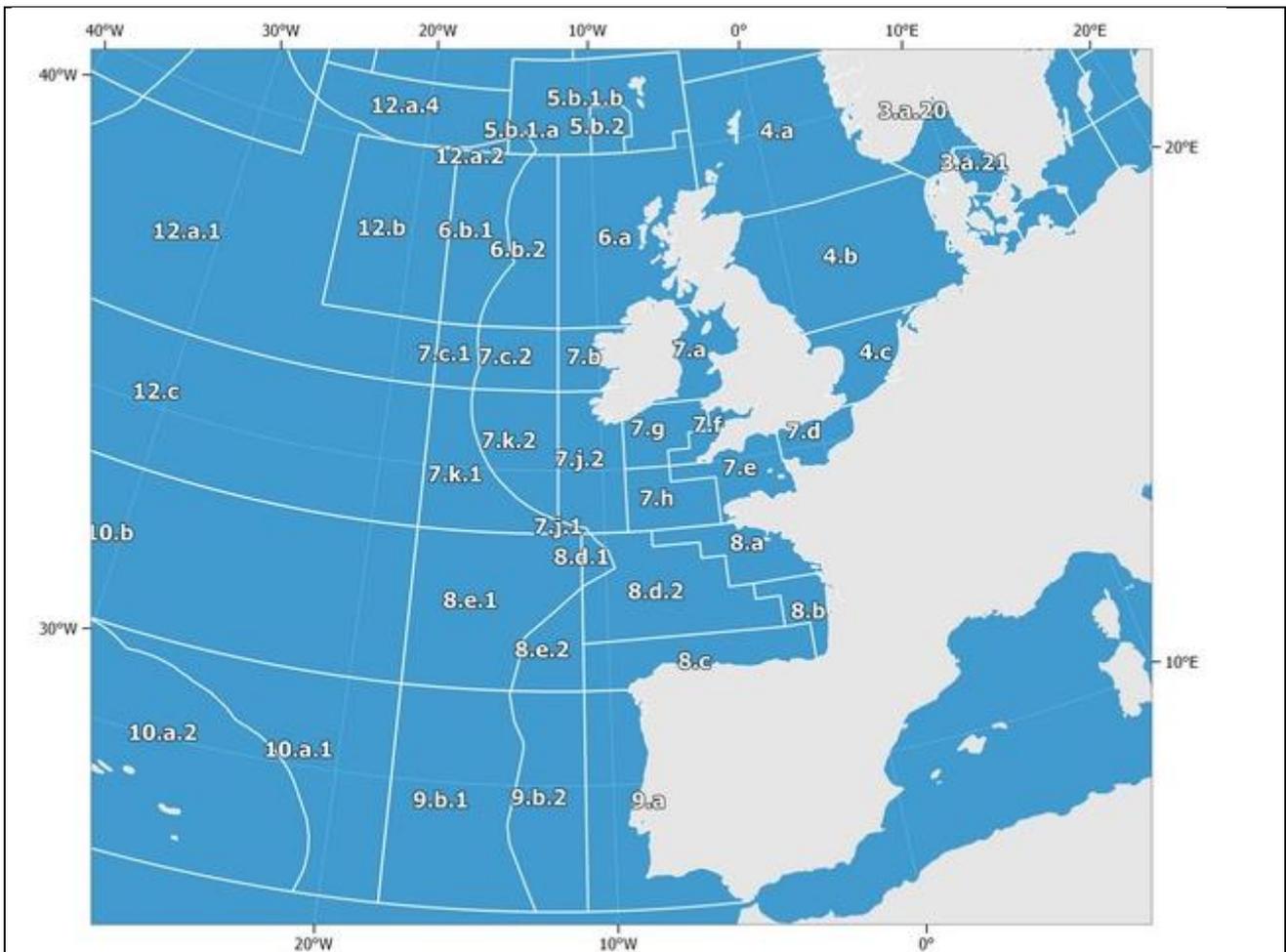
Common name	Latin name	Stock	% of landings	Management	Category
Sardine	<i>Sardina pilchardus</i>	Divisions 8.c and 9. a	N/A	DENMARK/EU	C, D

## CATEGORY C SPECIES

In a whole fish assessment, Category C species are those which make up less than 5% of landings, but which are subject to a species-specific management regime. In most cases this will be because they are a commercial target in a fishery other than the one under assessment. In a by-product assessment, Category C species are those which are subject to a species-specific management regime and are usually targeted species in fisheries for human consumption.

Clause C1 should be completed for **each** Category C species. If there are no Category C species in the fishery under assessment, this section can be deleted. A Category C species does not meet the minimum requirements of clause C1 should be re-assessed as a Category D species.

<b>Species Name</b>		<b>Sardine</b>	<b><i>Sardina pilchardus</i></b>
<b>C1</b>	<b>Category C Stock Status - Minimum Requirements</b>		
	C1.1	Fishery removals of the species in the fishery under assessment are included in the stock assessment process OR are considered by scientific authorities to be negligible.	<b>PASS</b>
	C1.2	The species is considered, in its most recent stock assessment, to have a biomass above the limit reference point (or proxy), OR removals by the fishery under assessment are considered by scientific authorities to be negligible.	<b>FAIL</b>
<b>Clause outcome:</b>			<b>FAIL</b>
<b>C1.1 Evidence</b>			
This assessment covers Sardine harvested from ICES 8a, 9c ( <b>Figure 1</b> ):			



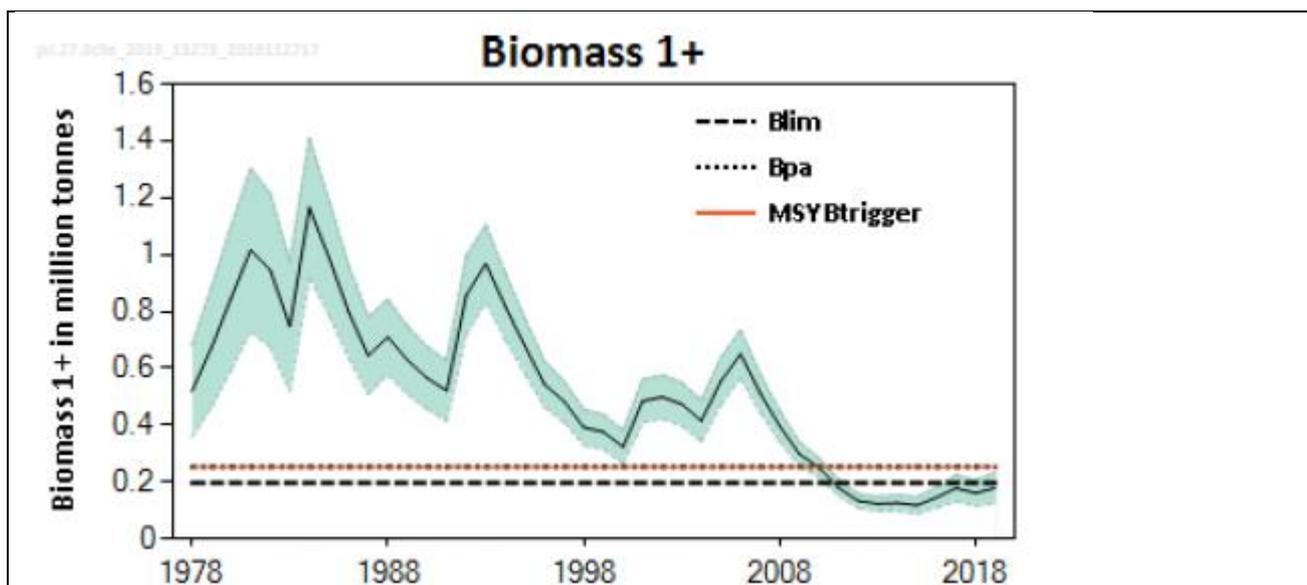
**Figure 1:** Map of the assessment area (ICES 8a, 9c) **R1**

Age-based analytical assessment (Stock Synthesis) that uses catches in the stock synthesis model and in the forecast was used in the stock assessments. Input data is derived from commercial catches (international landings, ages from catch sampling); annual acoustic spring survey indices triennial spawning-stock biomass (SSB) indices, triennial stock weights and maturity data. Discards and bycatch are considered negligible and are not included in the assessment.

This stock was benchmarked in February 2017 (WKPELA; ICES, 2017). Biological reference points were updated in 2019 (ICES, 2019a).

## **C1.2 Evidence**

The biomass ( $B_{1+2020}$  184, 137t) of age 1 and older fish has remained below Blim (196,334t) since 2011 (**Figure 2**):



**Figure 2:** Sardine in divisions 8.c and 9.a. Summary of the stock assessment. Biomass is shown with 95% confidence intervals. **R2**

According to IFFO RS procedures a stock that does not meet the minimum requirements of a Category C assessment (Clauses C1.1; C1.2) should be re-assessed as Category D (See below).

#### References

**R1** FAO Major Fishing Areas ATLANTIC, NORTHEAST (Major Fishing Area 27)

<http://www.fao.org/fishery/area/Area27/en>

**R2** ICES (2019) Sardine (*Sardina pilchardus*) in divisions 8.c and 9.a (Cantabrian Sea and Atlantic Iberian waters)

<http://www.ices.dk/sites/pub/Publication%20Reports/Advice/2019/2019/pil.27.8c9a.pdf>

**R3** ICES (2019a) Request from Portugal and Spain to evaluate a management and recovery plan for the Iberian sardine stock (divisions 8.c and 9.a). In Report of the ICES Advisory Committee, 2019. ICES Advice 2019, sr.2019.10. 9 pp. <https://doi.org/10.17895/ices.advice.5275>.

**R4** Fishbase Sardine:

<https://www.fishbase.in/Summary/SpeciesSummary.php?ID=1350&AT=sardine>

*Standard clauses 1.3.2.2*

## CATEGORY D SPECIES

In a whole fish assessment, Category D species are those which make up less than 5% of landings and are not subject to a species-specific management regime. In the case of mixed trawl fisheries, Category D species may make up the majority of landings. In a by-product assessment, Category D species are those which are not subject to a species-specific management regime. In both cases, the comparative lack of scientific information on the status of the population of the species means that a risk-assessment style approach must be taken.

The process for assessing Category D species involves the use of a Productivity-Susceptibility Analysis (PSA) to further subdivide the species into 'Critical Risk', 'Major Risk' and 'Minor Risk' groups. If there are no Category D species in the fishery under assessment, this section can be deleted.

Productivity and susceptibility ratings are calculated using a process derived from the APFIC document "Regional Guidelines for the Management of Tropical Trawl Fisheries, which in turn was derived from

papers by Patrick *et al* (2009) and Hobday *et al* (2007). Table D1 should be completed for each Category D species as follows:

- Firstly, the best available information should be used to fill in values for each productivity and susceptibility attribute.
- Table D2 should be used to convert each attribute value into a score between 1 and 3.
- The average score for productivity attributes and the average for susceptibility attributes should be calculated.
- Table D3 should be used to determine whether the species is required to meet the requirements of Table D4. A species which does not need to meet the requirements of D4 is automatically awarded a pass.
- Table D4 should be used to assess those species indicated by Table D3 to determine a pass/fail rating.
- Any Category D species which has been categorised by the IUCN Red List as Endangered or Critically Endangered, or which appears in the CITES appendices, automatically results in a fail.

Species Name:	<b>*European Pilchard</b> <i>Sardina pilchardus</i>	
Productivity Attribute	Value	Score
Average age at maturity (years)	<2	1
Average maximum age (years)	<10	1
Fecundity (eggs/spawning)	50,000-60,000	1
Average maximum size (cm)	20 cm	1
Average size at maturity (cm)	14.8	1
Reproductive strategy	Open water batch spawning	1
Mean trophic level	3.1	2
<b>Average Productivity Score</b>		<b>1.14</b>
Susceptibility Attribute	Value	Score
Overlap of adult species range with fishery	Full overlap	3
Distribution	N/A	
Habitat	Neritic-Pelagic	1
Depth range	10-100m	1
Selectivity	Mesh size towed gear 16-31mm	3
Post-capture mortality	Alive when hauled	2
<b>Average Susceptibility Score</b>		<b>2.00</b>
<b>PSA Risk Rating (From Table D3)</b>		<b>PASS</b>

**\*Reference:**

**Fishbase: Sardine R4**

**Table D2 - Productivity / Susceptibility attributes and scores.**

Productivity attributes	Low productivity/ High risk	Medium productivity/ Medium risk	High productivity/ Low risk
	Score 3	Score 2	Score 1
Average age at maturity (years)	>4	2 to 4	<2
Average maximum age (years)	>30	10 to 30	<10
Fecundity (eggs/spawning)	<1 000	1 000 to 10 000	>10 000
Average maximum size (cm)	>150	60 to 150	<60
Average size at maturity (cm)	>150	30 to 150	<30
Reproductive strategy	Live bearer, mouth brooder or significant parental investment	Demersal spawner "berried"	Broadcast spawner
Mean trophic level	>3.25	2.5–3.25	<2.5

Susceptibility attributes		High susceptibility/ High risk	Medium susceptibility/ Medium risk	Low susceptibility/ Low risk
		Score 3	Score 2	Score 1
Availability	1) Overlap of adult species range with fishery	>50% of stock occurs in the area fished	Between 25% and 50% of the stock occurs in the area fished	<25% of stock occurs in the area fished
	2) Distribution	Only in the country/ fishery	Limited range in the region	Throughout region/ global distribution
Encounterability	1) Habitat	Habitat preference of species make it highly likely to encounter trawl gear (e.g. demersal, muddy/sandy bottom)	Habitat preference of species make it moderately likely to encounter trawl gear (e.g. rocky bottom/reefs)	Depth or distribution of species make it unlikely to encounter trawl gear (e.g. epi-pelagic or meso-pelagic)
	2) Depth range	High overlap with trawl fishing gear (20 to 60 m depth)	Medium overlap with trawl fishing gear (10 to 20 m depth)	Low overlap with trawl fishing gear (0 to 10 m, >70 m depth)
Selectivity		Species >2 times mesh size or up to 4 m length	Species 1 to 2 times mesh size or 4 to 5 m length	Species <mesh size or >5 m length
Post capture mortality		Most dead or retained Trawl tow >3 hours	Alive after net hauled Trawl tow 0.5 to 3 hours	Released alive Trawl tow <0.5 hours

**Note:** Availability 2 is only used when there is no information for Availability 1; the most conservative score between Encounterability 1 and 2 is used.

<b>D3</b>		<b>Average Susceptibility Score</b>		
		<b>1.00 – 1.75</b>	<b>1.76 – 2.24</b>	<b>2.25 – 3.00</b>
<b>Average Productivity Score</b>	<b>1.00 – 1.75</b>	PASS	PASS	PASS
	<b>1.76 – 2.24</b>	PASS	PASS	TABLE D4
	<b>2.25 – 3.00</b>	PASS	TABLE D4	TABLE D4