

# MarinTrust RS V2.0



## BYPRODUCT FISHERY ASSESSMENT TEMPLATE REPORT

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TABLE 1 APPLICATION DETAILS AND SUMMARY OF THE ASSESSMENT OUTCOME

<b>Fishery Under Assessment</b>	<b>Species:</b>	Sprat ( <i>Sprattus sprattus</i> )
	<b>Geographical area:</b>	FAO Area 27 North East Atlantic
	<b>Country of origin of the product:</b>	UK & Ireland
	<b>Stock:</b>	Subarea 6 and divisions 7.a–c and 7.f–k (West of Scotland, southern Celtic Seas)
<b>Date</b>	December 2020	
<b>Report Code</b>	236-2020	
<b>Assessor</b>	Conor Donnelly	
<b>Country of origin of the product - PASS</b>	UK & Ireland	
<b>Country of origin of the product - FAIL</b>	NA	

Application details and summary of the assessment outcome			
<b>Name:</b>			
<b>Address:</b>			
<b>Country:</b> UK & Ireland		<b>Zip:</b>	
<b>Tel. No.:</b>		<b>Fax. No.:</b>	
<b>Email address:</b>		<b>Applicant Code:</b>	
<b>Key Contact:</b>		<b>Title:</b>	
Certification Body Details			
<b>Name of Certification Body:</b> SAI Global			
<b>Assessor</b>	<b>Peer Reviewer</b>	<b>Assessment Days</b>	<b>Initial/Surveillance/ Re-approval</b>
Conor Donnelly	Géraldine Criquet	0.5	SURV 2
<b>Assessment Period</b>		December 2020	

Scope Details	
<b>Main Species</b>	Sprat ( <i>Sprattus sprattus</i> )
<b>Stock</b>	Subarea 6 and divisions 7.a–c and 7.f–k (West of Scotland, southern Celtic Seas)
<b>Fishery Location</b>	FAO Area 27 North East Atlantic
<b>Management Authority (Country/ State)</b>	EU and UK and Ireland Department of Agriculture, Food and the Marine
<b>Gear Type(s)</b>	Midwater trawls
Outcome of Assessment	
<b>Peer Review Evaluation</b>	Agree with assessor's determination
<b>Recommendation</b>	<b>APPROVED</b>

**TABLE 2. ASSESSMENT DETERMINATION**

<b>Assessment Determination</b>
<p>If any species is categorised as Endangered or Critically Endangered on IUCN’s Red List, or if it appears in the CITES appendices, it cannot be approved for use as IFFO RS raw material. Sprat does not appear as Endangered or Critically Endangered on IUCN’s Red List, nor does it appear in CITES appendices; therefore, sprat in Subarea 6 and divisions 7.a–c and 7.f–k is eligible for approval for use as IFFO RS by-product raw material.</p> <p>No reference points exist for European sprat in subarea 6 and divisions 7.a–c and 7.f–k (West of Scotland, southern Celtic Seas). As the stock is not subject to a species-specific management regime it was assessed under Clause D using a risk-based productivity-susceptibility analysis which it passed.</p> <p>In order to be approved, the stock assessed must pass Clause D; therefore, as this is the case here, by-product covered by this report is <b>APPROVED</b> for the production of fishmeal and fish oil under the current IFFO RS v 2.0 by-product standard.</p>
<b>Peer Review Comments</b>
<p>The assessor correctly classified European sprat in subarea 6 and divisions 7.a–c and 7.f–k as category D.</p> <p>With an average productivity score of 1.14 and an average susceptibility score of 2.75, it PASSES.</p>
<b>Notes for On-site Auditor</b>
Empty space for notes

## SPECIES CATEGORISATION

**NB:** If any species is categorised as Endangered or Critically Endangered on the IUCN Red List, or if it appears in CITES Appendix 1, it **cannot** be approved for use as an MARINTRUST raw material.

### IUCN Redlist Category

Byproduct material from a species listed by IUCN (the International Union for Conservation of Nature) under the Red List for the following categories shall immediately fail the assessment;

- EXTINCT (E) AND EXTINCT IN THE WILD (EW)
- CRITICALLY ENDANGERED (CR) facing an extremely high risk of extinction in the wild.
- ENDANGERED (EN) facing a very high risk of extinction in the wild.

Byproduct material may be used from the following categories provided that all clauses in the MarinTrust standard are passed.

- VULNERABLE (VU) facing a high risk of extinction in the wild.
- NEAR THREATENED (NT) does not qualify for above now, but is close or is likely to qualify for, a threatened category in the near future.
- LEAST CONCERN (LC) Widespread and abundant.
- DATA DEFICIENT (DD) and NOT EVALUATED (NE)

TABLE 3 SPECIES CATEGORISATION TABLE

Common name	Latin name	Stock	Management	Category	IUCN Red List Category <sup>1</sup>	CITES Appendix 1 <sup>2</sup>
Sprat	<i>Sprattus sprattus</i>	Subarea 6 and divisions 7.a–c and 7.f–k	EU CFP	D	LC	No

<sup>1</sup> <https://www.iucnredlist.org/>

<sup>2</sup> <https://cites.org/eng/app/appendices.php>

## CATEGORY C SPECIES

In a by-product assessment, Category C species are those which are subject to a species-specific management regime and are usually targeted species in fisheries for human consumption.

Clause C1 should be completed for **each** Category C species. If there are no Category C species in the fishery under assessment, this section can be deleted. Where a species fails this Clause, it may be assessed as a Category D species instead, EXCEPT if there is evidence that it is currently below the limit reference point.

## CATEGORY D SPECIES

Category D species are those which make up less than 5% of landings and are not subject to a species-specific management regime. In the case of mixed trawl fisheries, Category D species may make up the majority of landings. The comparative lack of scientific information on the status of the population of the species means that a risk-assessment style approach must be taken.

D1	Species Name	European sprat <i>Sprattus sprattus</i>	
	Productivity Attribute	Value	Score
	Average age at maturity (years)	1.77	1
	Average maximum age (years)	7.9	1
	Fecundity (eggs/spawning)	8,700 – 46,000	1
	Average maximum size (cm)	13.1	1
	Average size at maturity (cm)	10.65	1
	Reproductive strategy	Open water / substratum egg scatterers	1
	Mean trophic level	3.0	2
	<b>Average Productivity Score</b>		<b>1.14</b>
	Susceptibility Attribute	Value	Score
	Overlap of adult species range with fishery	Between 25-50% of stock occurs in area fished	2
	Distribution	Throughout region	1
	Habitat	Pelagic	1
	Depth range	High overlap (10-150m)	3
	Selectivity	Up to 4m length	3
	Post-capture mortality		3
	<b>Average Susceptibility Score</b>		<b>2.75</b>
	<b>PSA Risk Rating (From Table D3)</b>		<b>PASS</b>
	<b>Compliance rating</b>		
<b>References</b>			
<a href="https://www.fishbase.se/summary/Sprattus-sprattus.html">https://www.fishbase.se/summary/Sprattus-sprattus.html</a>			
Overlap & distribution information from the Aquamaps in the above link.			
<i>Standard clauses 1.3.2.2</i>			

Table D2 - Productivity / Susceptibility attributes and scores.

Productivity attributes	Low productivity/ High risk	Medium productivity/ Medium risk	High productivity/ Low risk
	Score 3	Score 2	Score 1
Average age at maturity (years)	>4	2 to 4	<2
Average maximum age (years)	>30	10 to 30	<10
Fecundity (eggs/spawning)	<1 000	1 000 to 10 000	>10 000
Average maximum size (cm)	>150	60 to 150	<60
Average size at maturity (cm)	>150	30 to 150	<30
Reproductive strategy	Live bearer, mouth brooder or significant parental investment	Demersal spawner "berried"	Broadcast spawner
Mean trophic level	>3.25	2.5–3.25	<2.5

Susceptibility attributes		High susceptibility/ High risk	Medium susceptibility/ Medium risk	Low susceptibility/ Low risk
		Score 3	Score 2	Score 1
Availability	1) Overlap of adult species range with fishery	>50% of stock occurs in the area fished	Between 25% and 50% of the stock occurs in the area fished	<25% of stock occurs in the area fished
	2) Distribution	Only in the country/ fishery	Limited range in the region	Throughout region/ global distribution
Encounterability	1) Habitat	Habitat preference of species make it highly likely to encounter trawl gear (e.g. demersal, muddy/sandy bottom)	Habitat preference of species make it moderately likely to encounter trawl gear (e.g. rocky bottom/reefs)	Depth or distribution of species make it unlikely to encounter trawl gear (e.g. epi-pelagic or meso-pelagic)
	2) Depth range	High overlap with trawl fishing gear (20 to 60 m depth)	Medium overlap with trawl fishing gear (10 to 20 m depth)	Low overlap with trawl fishing gear (0 to 10 m, >70 m depth)
Selectivity		Species >2 times mesh size or up to 4 m length	Species 1 to 2 times mesh size or 4 to 5 m length	Species <mesh size or >5 m length
Post capture mortality		Most dead or retained Trawl tow >3 hours	Alive after net hauled Trawl tow 0.5 to 3 hours	Released alive Trawl tow <0.5 hours

**Note:** Availability 2 is only used when there is no information for Availability 1; the most conservative score between Encounterability 1 and 2 is used.

D3		Average Susceptibility Score		
		1 - 1.75	1.76 - 2.24	2.25 - 3
Average Productivity Score	1 - 1.75	PASS	PASS	PASS
	1.76 - 2.24	PASS	PASS	TABLE D4
	2.25 - 3	PASS	TABLE D4	TABLE D4

D4		Species Name	
<b>Impacts On Species Categorised as Vulnerable by D1-D3 - Minimum Requirements</b>			
D4.1	The potential impacts of the fishery on this species are considered during the management process, and reasonable measures are taken to minimise these impacts.		
D4.2	There is no substantial evidence that the fishery has a significant negative impact on the species.		
<b>Outcome:</b>			
<b>Evidence</b>			
D4.1: The potential impacts of the fishery on this species are considered during the management process, and reasonable measures are taken to minimise these impacts.			
D4.2 There is no substantial evidence that the fishery has a significant negative impact on the species.			
<b>References</b>			
<b>Links</b>			
MARINTRUST Standard clause		1.3.2.2, 4.1.4	
FAO CCRF		7.5.1	
GSSI		D.5.01	

## SOCIAL CRITERION

In addition to the scored criteria listed above, applicants must commit to ensuring that vessels operating in the fishery adhere to internationally recognised guidance on human rights. They must also commit to ensuring there is no use of enforced or unpaid labour in the fleet(s) operating upon the resource.



## Appendix A - Determining Resilience Ratings

The assessment of Category B species described in this assessment report template utilises a resilience rating system suggested by the American Fisheries Society. This approach was chosen because it is also used by FishBase, and so the resilience ratings for many thousands of species are freely available online. As described by FishBase, the following is the process used to arrive at the resilience ratings:

*“The American Fisheries Society (AFS) has suggested values for several biological parameters that allow classification of a fish population or species into categories of high, medium, low and very low resilience or productivity (Musick 1999). If no reliable estimate of  $r_m$  (see below) is available, the assignment is to the lowest category for which any of the available parameters fits. For each of these categories, AFS has suggested thresholds for decline over the longer of 10 years or three generations. If an observed decline measured in biomass or numbers of mature individuals exceeds the indicated threshold value, the population or species is considered vulnerable to extinction unless explicitly shown otherwise. If one sex strongly limits the reproductive capacity of the species or population, then only the decline in the limiting sex should be considered. We decided to restrict the automatic assignment of resilience categories in the Key Facts page to values of  $K$ ,  $t_m$  and  $t_{max}$  and those records of fecundity estimates that referred to minimum number of eggs or pups per female per year, assuming that these were equivalent to average fecundity at first maturity (Musick 1999). Note that many small fishes may spawn several times per year (we exclude these for the time being) and large live bearers such as the coelacanth may have gestation periods of more than one year (we corrected fecundity estimates for those cases reported in the literature). Also, we excluded resilience estimates based on  $r_m$  (see below) as we are not yet confident with the reliability of the current method for estimating  $r_m$ . If users have independent  $r_m$  or fecundity estimates, they can refer to Table 1 for using this information.”*

Parameter	High	Medium	Low	Very low
Threshold	0.99	0.95	0.85	0.70
$r_{max}$ (1/year)	> 0.5	0.16 - 0.50	0.05 - 0.15	< 0.05
$K$ (1/year)	> 0.3	0.16 - 0.30	0.05 - 0.15	< 0.05
Fecundity (1/year)	> 10,000	100 - 1000	10 - 100	< 10
$t_m$ (years)	< 1	2 - 4	5 - 10	> 10
$t_{max}$ (years)	1 - 3	4 - 10	11 - 30	> 30

[Taken from the FishBase manual, “Estimation of Life-History Key Facts”, <http://www.fishbase.us/manual/English/key%20facts.htm#resilience>]

## Appendix B: From MARINTRUST Standard V2.0 Annex 2: Fish By-product Assessment Methodology

### Definition of a Fish By-product

A by-product is a useful and marketable product that is not the primary product being produced. A marketable by-product is from a process that can technically not be avoided. This includes materials that may be traditionally defined as waste such as industrial scrap that is subsequently used as a raw material in a different manufacturing process.

"Fish By-products" refers to commodities that are manufactured from fish, including shellfish, and crustaceans in a form that is different than conventional foods and which are intended for human consumption (either directly or as a food ingredient). Fish By-products include, but are not limited to:

- By-products derived from fish, including fish cartilage, fish oils, and fish proteins; and
- By-products derived from the carapaces of crustaceans; but do not include marine plants or marine plant products.

(Canadian Food Inspection Agency Definition)

In addition, a whole fish which is rejected on an intrinsic quality ground e.g. does not meet the specification for human consumption due to physical damage or the quality is substandard. These whole fish shall in these cases be classified as a by-product from the human consumption fishery, and can be used for marine ingredients production.

A whole catch of fish that is rejected by a fish processing factory on economic grounds is not considered to be a fish by-product. This fish can only be used for marine ingredients production if the fishery has been assessed and approved under the requirements of the IFFO Responsible Sourcing Standard.

### Why utilise Fish By-products?

#### FAO Code of Conduct for Responsible Fisheries

##### General Principles Article 6

**6.7** The harvesting, handling, processing and distribution of fish and fishery products should be carried out in a manner which will maintain the nutritional value, quality and safety of the products, reduce waste and minimize negative impacts on the environment.

##### Responsible fish utilisation Article 11.1

**11.1.8** States should encourage those involved in fish processing, distribution and marketing to reduce post-harvest losses and waste.

#### Benefits of Including Fish By-Products in the MARINTRUST Standard:

1. Improved fish resource utilisation
2. Reduction in waste for nutritional value
3. 35% of fish by-products are currently used to make quality fishmeal and oil
4. Excellent Economic return
5. Better compliance with FAO Code of Conduct for Responsible Fisheries

#### What Fish By-products cannot be used?

## 1. IUCN

Fishery By-products shall Not be taken from a species listed by IUCN (the International Union for Conservation of Nature) under the Red List for certain categories;

- EXTINCT (E) AND EXTINCT IN THE WILD (EW)
- CRITICALLY ENDANGERED (CR) facing an extremely high risk of extinction in the wild.
- ENDANGERED (EN) facing a very high risk of extinction in the wild.

Fish By-product material may be used from the vulnerable category, but it shall incur a fishery surveillance conducted by the certification body prior to it being included in the scope of this standard.

- VULNERABLE (VU) facing a high risk of extinction in the wild.

The Fish By-product material from these species will be acceptable for use in the scope of this standard;

- NEAR THREATENED (NT) does not qualify for above now, but is close or is likely to qualify for, a threatened category in the near future.
- LEAST CONCERN (LC) Widespread and abundant.

Fish By-product material may be used from the following category, but it shall incur a fishery surveillance prior to it being included in the scope of this standard;

- DATA DEFICIENT (DD) and NOT EVALUATED (NE)

The fishery surveillance conducted by the certification body will review the following areas:

### Stock Assessment

- From a recognised Institution
- Fisheries are recognised as legal
- Fisheries do not contradict scientific opinion

## 2. FAO Code of Conduct for Responsible Fisheries

In addition the Fish By-products shall not come from fisheries that do not comply with the following criteria;

1. Fisheries should prohibit dynamiting, poisoning and other comparable destructive fishing practices.
2. Fishery material shall not be from IUU fishing activity nor sourced from vessels officially listed as engaging in illegal, unreported and unregulated (IUU) fishing activity.

### Sources of Information

1. Food Standards Agency
2. Canadian Food Inspection Agency
3. DEFRA
4. GAA Feed mill BAP standard
5. EU Commission

**6. IUCN**