

Recognition determination report GSSI Benchmark Tool Version 2.0

Completed
November-December 2024



Introduction

To help the efficiency of audits and assessments, and reduce duplication, MarinTrust has implemented a procedure for the 'recognition of equivalence.' This enables MarinTrust to recognise other Standards or Benchmark Tool requirements as fully or partially equivalent against specific sections or requirements of a MarinTrust Standard.

To undertake the assessment of equivalence, it must be determined that the Standard or Benchmark Tool Owner is credible, robust, and relevant. This is an important step to safeguard the integrity and assurance of the MarinTrust Programme.

Key stages include:

Stage 1: Initiation of recognition of equivalence process

Stage 2: Self-assessment

Stage 3: Third-party equivalency assessment

Stage 4: Recognition decision and update of documentation

This recognition determination report is produced for Stages 2 and 3. It provides a summary of key information, and findings of the third party equivalence assessment.

Upon receipt of the recognition determination report, MarinTrust will share the report with the relevant governance committee for discussion and recommendation of approval to the Governing Body Committee (GBC).

This report is used as the basis for recommending if the equivalency should be considered by the relevant committee, GBC, and MarinTrust.

Where the recognition is partial or full, MarinTrust shall publish the report on the MarinTrust website.



Section 1: Company and third-party information

Company details

Name of Organisation: Global Seafood Sustainability Initiative (GSSI)

Type of recognition: Benchmark Tool

Third party Information

I hereby undertake to maintain in confidence all information and data related to MarinTrust activities obtained during the course of my involvement as a third party, other than that which I am authorised by the MarinTrust to disclose or that which I am required to disclose by law.

I further undertake to immediately inform MarinTrust of any conflict of interest that may arise between my responsibilities as a third party and any other activity with which I may be involved.

Organisation:	RS Standard Ltd.
Date:	19 th November 2024

Section 2: General overview

One-way or mutual recognition

One-way

O Mutual

Standard / Benchmark information	
Title	GSSI Global Benchmark Framework
Version	Version 2.0
Website link (if applicable)	https://ourgssi.org/wp-
	content/uploads/2021/11/Benchmark-
	<u>Framework-version-2.0.xlsx</u>

Section 3: Self-assessment outcome summary

A previous evaluation of GSSI was made as part of a series of pilot tests for the development of the equivalency procedure. This was considered a type of 'self-assessment' whereby information from that was used to support the third party assessment.

A third party contractor (RS Standards) has undertaken this third party equivalency assessment, during November 2024. This compared V3.0 of the MarinTrust whole fish fishery assessment



criteria, Issued April 2024- Effective 1 May 2024 with the GSSI Global Benchmark Framework Version 2.0 which was published and online at the time of the assessment.

The equivalency evaluation used supporting documentation from both organisations:

MarinTrust

 Whole fish fishery assessment criteria guidance Version 3.0 Issued April 2024 – Effective 1 May 2024

GSSI

Documents used for assessing assurance / governance	Documents used for assessing fishery requirements
requirements	
 Deed of incorporation Independent experts Benchmark Integrity Committee - Benchmark Integrity Committee - mandate GSSI Charter 2023 Annual report Benchmark Procedures List of benchmarked schemes Benchmark process Quality Management System (QMS), V2 Sept 2023 Documentary and Records Control Procedure V1, Sept 2023 Global Benchmark Tool – ISO 17065 gap analysis Benchmark Agreement - version shared in November 2024 Annex 3: Transition Procedure V1.0 Template GSSI IE Service Agreement 1-2024 	Benchmark Framework Version 2.0 Benchmark Framework Glossary

Section 4: Equivalency assessment overview

The outcome of the equivalency assessment is summarised in the tables below.

• **Table 1** includes an overview of the outcome of the baseline requirements.



- **Table 2** provides an overview of outcome of the baseline requirements for assessment against ISO/IEC 17065 requirements.
- **Table 3** indicates the number of clauses for which the relevant party is considered to be equivalent, partially equivalent, not equivalent, or not applicable to the MarinTrust criteria.

For full details for each rating, please refer to Recognition of Equivalence Procedure, Appendix 1 – equivalency assessment methodology (see MarinTrust <u>website</u>).

4.1 Baseline requirements

Table 1: Outcome overview of baseline requirements

Requirement	Description	Comments	Outcome
	Fully operational	GSSI is in operation and active	Baseline met
Operations	Third-party	An independent expert (IE) is	
	assessment or	used to undertake the	Baseline
	audits included	assessment	met
Scope of		GSSI can benchmark schemes	Baseline
requirements	Fishery	covering fisheries, aquaculture	met
Geographical			Baseline
scope	Global		met
		GSSI state:	
		The FAO Guidelines upon which	
If the scope is		the Tool is based:	
fisheries - are		•The FAO Code of Conduct for	
the		Responsible Fisheries (CCRF)	
requirements		•The FAO Technical Guidelines	
aligned with		for Aquaculture Certification	
the FAO Code		•The FAO Guidelines for	
of Conduct for		Ecolabelling of Fish and Fishery	
Responsible		Products from Marine/Inland	
Fisheries?		Capture Fisheries.	
		Ref:	Baseline
	Voc	https://ourgssi.org/benchmarkin	
	Yes	<u>g/</u>	met



Table 2: Outcome overview of ISO/IEC 17065 requirements

Requirement	Outcome
Standard Owner	Not applicable
Benchmark Tool Owner	Full equivalence.

GSSI has many requirements in place that align with the requirements for 17065. This applies to their own operating procedures and those for schemes they benchmark.

As a benchmark scheme

GSSI has undertaken an internal assessment of this as well which shows full alignment. This has been fully checked and verified as part of this current benchmark assessment and found to meet / provide an equivalent level of management.

Requirements for standards / schemes that GSSI benchmarks

Section B of the GSSI Benchmark Framework include numerous essential requirements for standard owners. This includes:

- B.1.01 The Scheme Owner has a contractual, enforceable arrangement or formal understanding that requires accreditation bodies to be compliant with the requirements of ISO/IEC 17011 in its applicable version.
- B.1.03 The Scheme Owner specifies the requirements for certification bodies that the accreditation body is required to verify, including the respect of the scope of the scheme.
- B.2.01 The Scheme Owner requires that certification bodies operating in the scheme are accredited to conduct certifications for the scope of their respective standards in conformance with ISO/IEC 17065 in its applicable version.

As these are essential components any standard that is benchmarked must align with these requirements. This is assessed as part of the review process.

4.2 Equivalency assessment against MarinTrust criteria

There are 35 clauses in the MarinTrust whole fish fishery assessment, but two clauses require only one to be fulfilled (meaning a total of 34 that must be fulfilled). All 35 clauses were assessed but the numbers below are based on having 34 that must be fulfilled.



Table 3: Summary of level of equivalency

Equivalency rating	Number of MarinTrust clauses	% of total
Equivalent	34	100%
Partially equivalent	0	0%
Not equivalent	0	0%

Scope	Total Number of criteria	Equivalent	Partially equivalent	Not equivalent	Not applicable
Whole fish fishery approval	34	34	0	0	0

4.3 Extent of Equivalence

The GSSI Global Benchmark Framework includes both essential and supplementary components:

- Essential components must be fully met by all successfully benchmarked schemes.
- Supplementary components may be met by benchmarked schemes but are not deemed essential.

Benchmarked schemes must fulfil all essential components but do not have to fulfil any supplementary components.

GSSI achieved total equivalency (100%) using only essential components.

Table 4: Equivalency assessment outcome

Overview	
Scope	Outcome
Whole fish fishery approval	Full recognition recommended.



4.4 Main Findings and Comments

Equivalency Approach

To reduce the potential for GSSI benchmarked schemes not meeting all the requirements of the MarinTrust whole fish fishery assessment, equivalency had to established using essential components. All the MarinTrust clauses were evaluated against all GSSI components, which included determining whether those components were 'essential' or 'supplementary.'

Summary of equivalent clauses

MT Fishery Approval Criteria (main clause)	GSSI Equivalency Outcome
M1.1 There is an organisation responsible for	
managing the fishery	
M1.2 Fishery management organisations are legally	
empowered to take management actions	
M1.3 There is an organisation responsible for	
collecting data and (scientifically) assessing the	
fishery.	
M1.4 The fishery management system is based on	Essential components of the GSSI
the principles of sustainable fishing and a	Global Benchmark are equivalent to
precautionary approach	all sub-clauses.
M1.5 There is a clearly defined decision-making	dir sab ciaases.
process which is transparent, with processes and	For M1.2.1 and M1.5.3 there are
results made publicly available	supplementary components which
M2.1 There is an organisation responsible for	are also relevant.
monitoring compliance with fishery laws and	
regulations	
M2.2 There is a framework of sanctions which are	
applied when infringements against laws and	
regulations are discovered	
M2.3 There is substantial evidence of widespread	
compliance in the fishery, and no substantial	
evidence of IUU fishing.	
Cat A species	
A1 Data Collection	Essential components of the GSSI
A2 Stock Assessment Criteria	Global Benchmark are equivalent to
A3 Harvest Strategy Requirements	all sub-clauses.
A4 Stock Status	dir sab ciaases.
Cat B species	
B1	Essential components of the GSSI
	Global Benchmark are equivalent to
	all sub-clauses.



Cat C species	
C1 Stock Status	Essential components of the GSSI Global Benchmark are equivalent to all sub-clauses.
Cat D species	
D1, 2,3 PSA D4 Impacts on vulnerable species	Essential components of the GSSI Global Benchmark are equivalent to all sub-clauses. There are supplementary components which are also relevant.
E1 - Impacts on ETP species - Minimum Requirements	
E1.1. Information on interactions between the fishery and ETP species is collected E1.2. The fishery has no significant negative impact	Essential components of the GSSI Global Benchmark are equivalent to
on ETP species. E1.3. There is an ETP management strategy in place for the fishery.	all sub-clauses.
E2 - Impacts on Habitats - Minimum Requirements	
E2.1. Information on interactions between the fishery and marine habitats is collected.	Essential components of the GSSI
E2.2. The fishery has no significant impact on marine habitats.	Global Benchmark are equivalent to all sub-clauses.
E.2.3. There is a habitat management strategy in place for the fishery.	
E3 - Ecosystem Impacts Minimum Requirements	T
E3.1. Information on the potential impacts of the fishery on marine ecosystems is collected.	
E3.2. There is no substantial evidence that the fishery has a significant negative impact on the marine ecosystem.	Essential components of the GSSI Global Benchmark are equivalent to all sub-clauses.
E3.3. There is an ecosystems management strategy in place for the fishery.	

GSSI achieved total equivalency (100%) using only essential components. For some MarinTrust clauses, the GSSI supplementary components provide closer alignment. However, where this is the case, the essential components provide the same intent as that of the MarinTrust clause. To determine this, it was useful to consider the guidance and information regarding alignment to the Food and Agriculture Organisation (FAO) Code of Conduct for Responsible Fisheries which is provided in the GSSI Benchmark Framework.

Section 5: Response from benchmark scheme owner

The results of this assessment were shared with GSSI to enable review and feedback. GSSI confirmed they were satisfied with the assessment and provided no comments or feedback.



Section 6: Equivalency recommendation

	No recognition recommended
	Partial recognition recommended
\boxtimes	Full recognition recommended.
Th	ere are no additional requirements or recommendations.