

IFFO RS Assumptions Associated with the Theory of Change

Intended Change	Assumptions	Identifier	Importance
Stakeholder engagement <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Effective Standard revision</i> ▶ <i>Effective MEL</i> ▶ <i>Effective revision of Assurance processes</i> 	Stakeholders will engage, and engagement is only asked when relevant and required	A1	Medium
	Stakeholders understand what is being asked of them	A2	Medium
	The scope of stakeholder engagement is representative of the full value chain (Including NGOs.AI)	A3	Medium
Research <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Effective Standard revision</i> ▶ <i>Effective MEL</i> ▶ <i>Effective revision of Assurance processes</i> 	IFFO RS has the capacity (research links with universities etc.) to undertake and/or commission research required	A4	High
	Research well planned, well designed and provides useful and accurate information	A5	Medium
Training <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Credible Assurance System</i> 	CBs want or need support, training and guidance	A6	Low
	Training is targeted and effective	A7	Medium
Advocacy and awareness in industry <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Advocacy and awareness in government</i> ▶ <i>Increased uptake of Improvers Programme</i> ▶ <i>Accessibility to IFFO RS Standards</i> 	Target audiences identified and well understood, including knowledge of where awareness is inadequate	A8	High
	Appropriate methods of communication are used to raise awareness (i.e. using appropriate language at correct time to right people)	A9	Medium
	Advocacy and awareness raising is effective	A10	Medium
Advocacy and awareness in government <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Advocacy and awareness in industry</i> ▶ <i>Increased uptake of Improvers Programme</i> 	Target governments identified and well understood, including knowledge of where awareness is inadequate	A11	High
	Governments will listen	A12	Medium
	Governments will make the necessary changes	A13	Medium
	That advocacy with governments is needed	A14	High
Support and advice <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Increased uptake of Improvers Programme</i> ▶ <i>Accessibility to IFFO RS Standard</i> 	Support and advice is relevant and useful	A15	Medium
	Support and advice is given to those who need it	A16	Medium
Standard revision <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Globally relevant and credible IFFO RS Standards</i> 	Revision increases or maintains relevancy and credibility	A17	Low
	Appropriate stakeholders including those within the TAC & GB are appointed & engage in revisions	A18	Low

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Monitoring, Evaluation and Learning <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Effective Standard revision</i> ▶ <i>Globally relevant and credible IFFO RS Standards</i> ▶ <i>Credible Assurance System</i> ▶ <i>Effective revision of Assurance processes</i> 	Stakeholders (CBs / TAC / GB / FPRC) agree, understand, participate in and value the MEL system	A19	High
	MEL system informs necessary changes to the IFFO RS standards	A20	Medium
	MEL system informs necessary changes to the IFFO RS assurance system	A21	Medium
	MEL system produces understanding of outcomes and impacts	A22	High
Revision of Assurance processes <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Effective Monitoring, Evaluation and Learning</i> ▶ <i>Credible Assurance System</i> 	Revision increases or maintains credibility	A23	High
	Revision improves data quality for MEL	A24	Medium
Improvers Programme <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Accessibility to IFFO RS Standard</i> 	Potential candidates know of its existence	A25	High
	Potential candidates understand what it means, including the requirements and benefits	A26	High
	Potential candidates perceive sufficient benefits to join	A27	High
	Those on the Improvers Programme perceive sufficient benefits to meet the Action Plan Milestones	A28	High
	Those on the Improvers Programme 'graduate' to apply and become IFFO RS certified	A29	Medium
	Accessibility is enhanced for the correct demographic through targeting	A30	Medium
	Stakeholders consider the programme sufficiently rigorous and successful to maintain support	A31	High
	Increasing the accessibility for some doesn't decrease accessibility or credibility for others	A32	Low
Globally relevant & credible Standards <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>More marine ingredient Factories and Fisheries become responsible and reduce effects on the environment to an acceptable level</i> ▶ <i>Certified producers able to demonstrate responsible supply of Marine Ingredients</i> 	IFFO RS standards are known about and influential	A33	Medium
	Information on certified producers is readily available to interested parties	A34	High
Credible Assurance System <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Certified producers able to demonstrate responsible supply of Marine Ingredients</i> 	IFFO RS has a credible assurance system (including traceability and Chain-of-Custody)	A35	High/ Medium
	Information on the assurance system is readily available to interested parties	A36	High

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Enhancing accessibility to the Standards <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Certified producers able to demonstrate responsible supply of Marine Ingredients</i> 	Accessibility is what prevents more producers from demonstrating responsible supply	A37	Medium
Certified producers able to demonstrate responsible supply <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>responsible producers of Marine Ingredients able to gain & maintain long-term market access</i> 	The market is sufficiently aware of certification	A38	High
	The market sufficiently favours certified marine ingredients	A39	High
	Factories and fisheries see a differential in market access as sufficient to drive or maintain certification	A40	Medium
	It is market access that is driving the change (rather than legislation, etc.)	A41	Medium
Responsible producers of Marine Ingredients able to gain & maintain long-term market access <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>More marine ingredient factories reducing effects on the environment to an acceptable level</i> ▶ <i>More reduction fisheries becoming socially responsible</i> ▶ <i>More reduction fisheries becoming socially responsible</i> ▶ <i>More reduction fisheries reducing effects on the environment to an acceptable level</i> 	Market differential experienced by certified producers is sufficient to encourage others to take necessary action	A42	High
	Market differential experienced by certified producers is sufficient for them to maintain certification	A43	Medium
	Producers' environmental and social performance is not primarily driven by other influences	A44	Medium
More Marine Ingredient Factories reduce effects on the environment to acceptable¹ levels <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Improved global responsibility of the sourcing and production of Marine Ingredients</i> 	Being able to gain and maintain market access leads to benefits to the environment	A45	High
More Marine Ingredient Factories become socially responsible <i>leads to:</i> <ul style="list-style-type: none"> ▶ <i>Improved global responsibility of the sourcing and production of Marine Ingredients</i> 	Being able to gain and maintain market access leads to benefits to social performance	A46	High

¹ aligned to the RS Standard requirements

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<p>More reduction Fisheries reduce effects on the environment to an acceptable² level <i>leads to:</i></p> <ul style="list-style-type: none"> ▶ <i>Improved global responsibility of the sourcing and production of Marine Ingredients</i> 	<p>Being able to gain and maintain market access leads to benefits to the environment</p>	<p>A45</p>	<p>High</p>
<p>More reduction Fisheries become socially responsible <i>leads to:</i></p> <ul style="list-style-type: none"> ▶ <i>Improved global responsibility of the sourcing and production of Marine Ingredients</i> 	<p>Being able to gain and maintain market access leads to benefits to social performance</p>	<p>A46</p>	<p>High</p>
<p>Work with partners to ensure a path to sustainability is available to Fisheries <i>leads to:</i></p> <ul style="list-style-type: none"> ▶ <i>Improved global responsibility of the sourcing and production of Marine Ingredients</i> 	<p>That potential partners are willing to work with IFFO RS to ensure the path to sustainability</p>	<p>A47</p>	<p>Medium</p>
<p>Improved global responsibility of the sourcing and production of Marine Ingredients</p>	<p>We are improving the global responsibility in real and measurable ways</p>	<p>A48</p>	<p>High</p>

² aligned to the RS Standard requirements